



#### **Presenters**

- Introduction
  - ♦ Dr. Anne Andrews SERDP/ESTCP
- Classification Basics
  - ♦ Dr. Tom Bell SAIC
- Workflow and Quality Control
  - ♦ Mr. Bryan Harre NAVFAC ESC
- Case Study Pole Mountain, WY
  - ♦ Dr. Herb Nelson SERDP/ESTCP
- Wrap Up
  - ♦ Mr. Vic Wieszek ODUSD(I&E)/EM

Implementing Classification - Introduction

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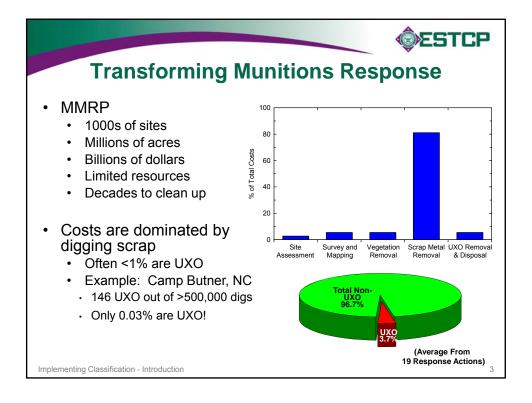
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**Report Documentation Page** 

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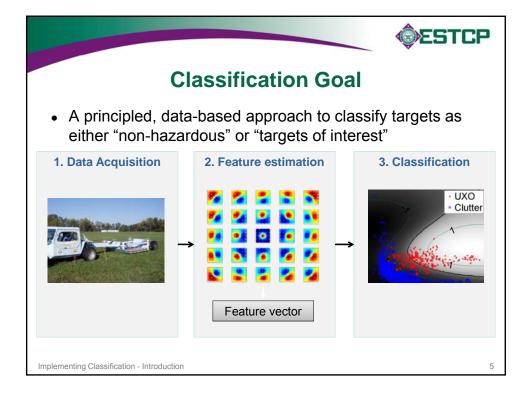


## **Classification Motivation**

- The current annual cleanup effort is on the order of a few percent of the projected total cost
- To make real progress on this problem, we need a better approach
- Classification offers the chance to divide anomalies into those caused by targets-of-interest and those caused by other things

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# listory

# History

- Technology Development Objectives
  - ♦ Advanced Processing for Commercial Sensors
  - ♦ Purpose-built Sensors for Classification
- Testing and Demonstration Timeline
  - ◆ Late 1990s careful data collection with commercial sensors, mostly improves detection
  - ◆ 2000 demonstrations of crude classification ability with commercial sensors on controlled sites
  - ◆ 2000-2001 SEED project to design sensor for UXO classification (BUD)
  - ◆ 2005 build and demonstrate BUD on controlled site successful demo of developmental system
  - ♦ 2007-present- develop and demonstrate transitionable systems, including HW, analysis procedures, SOPs

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#### **Live Site UXO Classification Demonstrations**

- Goal: Validate Classification Technologies
  - ♦ Establish performance capability as function of site conditions
  - ♦ Establish operational procedures and costs
  - ◆ Train government and contractor community
  - ♦ Gain regulatory acceptance
- Multiple Live Sites Required
  - ♦ Munitions type
  - ♦ Site conditions







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## **Demonstrations to Date**

- Completed
  - ♦ Former Camp Sibert, AL simple site, single munitions type
  - ♦ Former Camp San Luis Obispo, CA more difficult terrain, mix of munitions, medium to large size
  - ♦ Former Camp Butner, NC mix of small and large munitions 37 mm, 105 mm, 155 mm
- Ongoing
  - ♦ Mare Island Naval Shipyard, CA industrial site
  - ♦ Pole Mountain, WY case study in implementation
  - ♦ Former Camp Beale, CA trees, restricted access
  - ♦ Fort Sill, OK using classification in an RI
- Planned additional demonstrations in FY12-14

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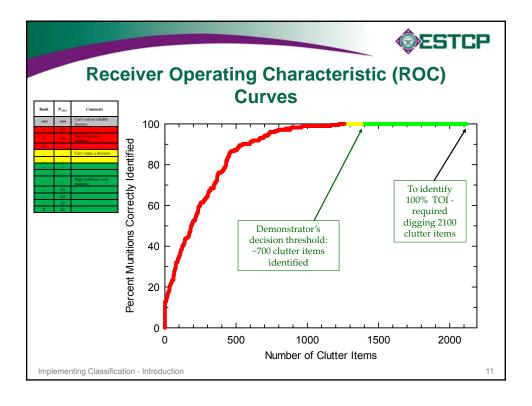
#### **Demonstration Flow**

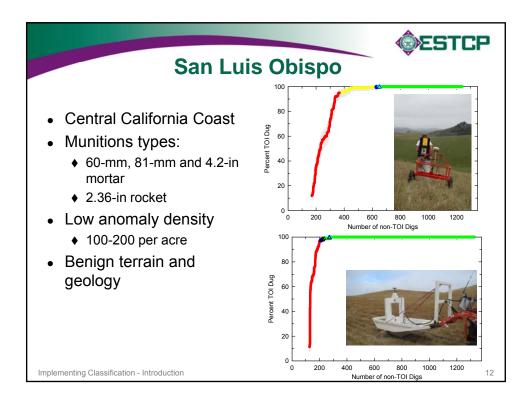
- · Seed the site with inert munitions
- · Collect geophysical survey data
- Select potential targets science-based threshold for anomaly picking
- · Collect "cued" geophysical data
- Dig every anomaly that exceeds threshold for validation close hold
- Pass anomaly locations to analysts one answer for every location
- Score blind test against ground truth seeds and dug targets

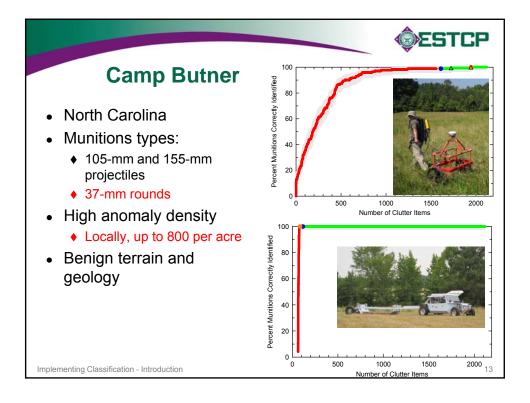
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## **Dig List Example** $\mathbf{P}_{\mathbf{UXO}}$ Rank Comment -999 -999 Can't extract reliable features .96 High confidence munition Can't make a decision Threshold High confidence non-munition .03 .02 .01 Implementing Classification - Introduction 10









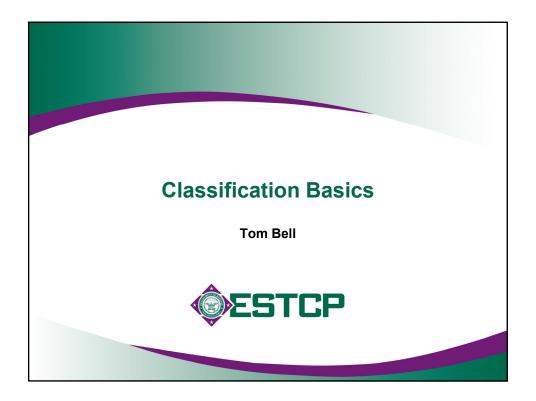


## What You Should Get From This Course

- Classification has been successfully demonstrated on several sites
- It is likely to be proposed for use while demonstrations are ongoing
- · You should be able to
  - ♦ Determine if a proposed classification method is valid
    - Method and workflow consistent with what has been demonstrated
    - Site conditions suitable
  - ♦ Know what questions to ask and what deliverables to expect
  - ♦ Understand quality control considerations

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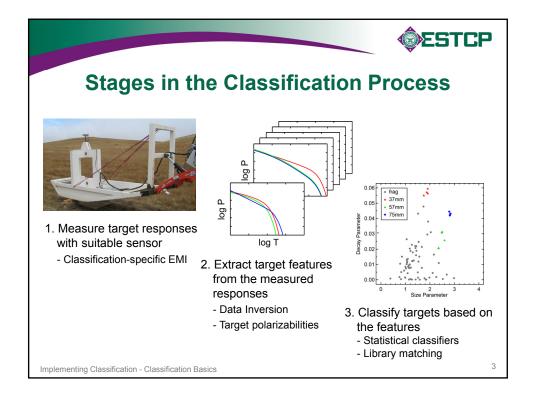


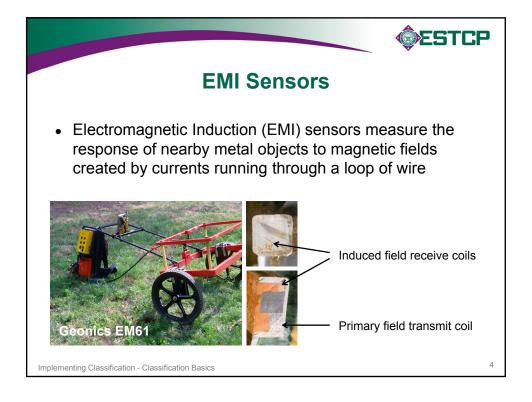
## **Outline**

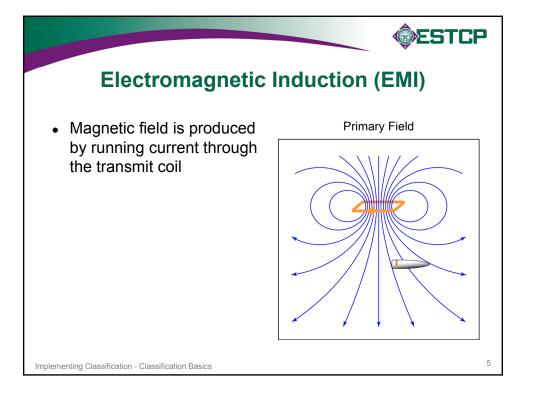
- Stages in the classification process
- Sensors
  - ♦ Electromagnetic induction (EMI) fundamentals
  - ♦ Conventional vs. classification-specific sensor technology
- EMI Response Features
  - ◆ Data Inversion
  - ♦ Principal axis polarizability
- Classification
  - ♦ Statistical classifiers
  - ♦ Library/template matching

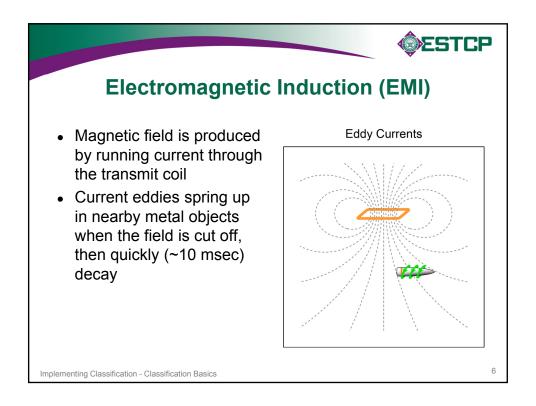
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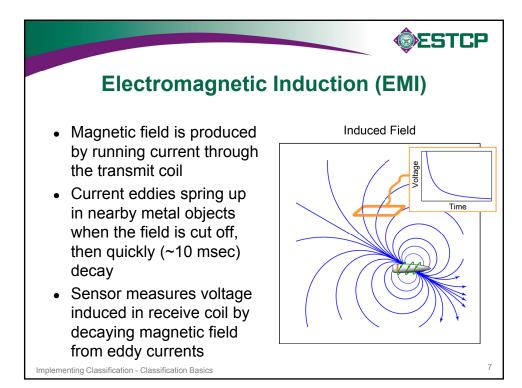
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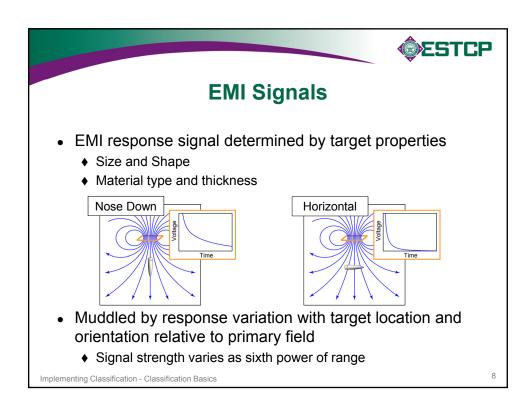








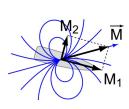


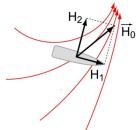




## **EMI Response – Dipole Model**

- Eddy current effects can be represented by an induced magnetic moment (M)
  - ♦ Strength decays with time as eddy currents die out, decay trajectory is determined by physical properties of target
  - ◆ Orientation determined by direction of primary field (H₀) relative to target's principal axes





Implementing Classification - Classification Basics

## **Principal Axes & Polarizabilities**

- EMI response is decomposed into components along three orthogonal principal axis directions
  - Principal axis directions correspond to fundamental excitation modes of target
  - Magnetic polarizabilities are specific responses to unit excitation along each of target's principal axis
- Principal axis polarizabilities completely describe EM response of target

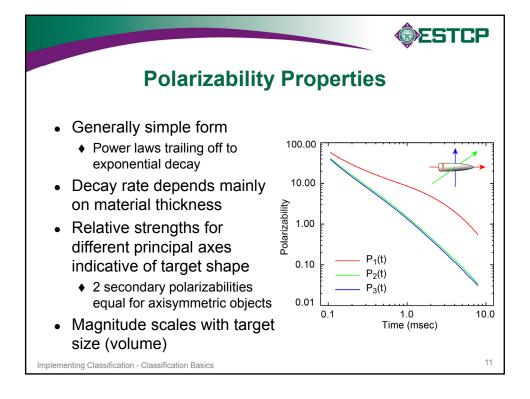
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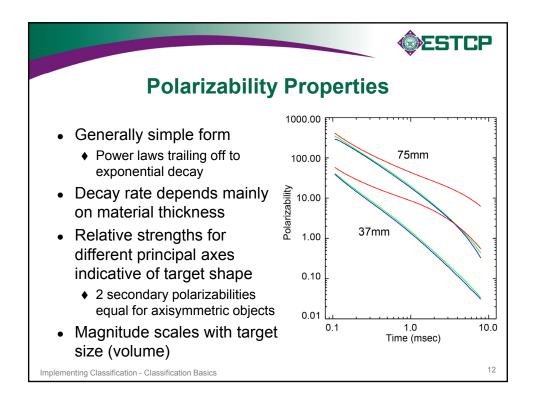
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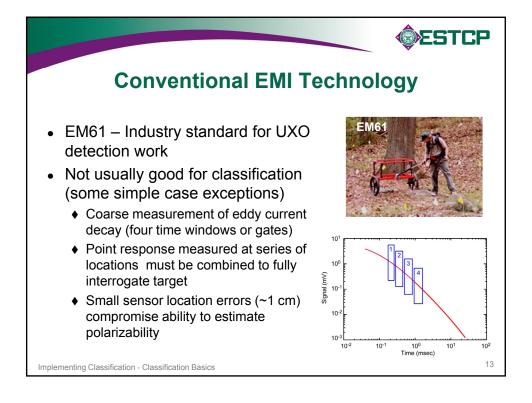
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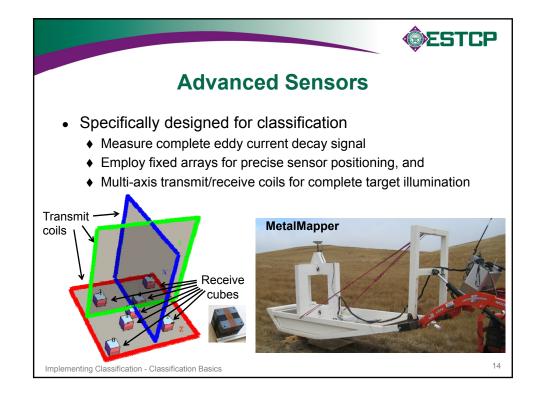
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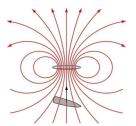


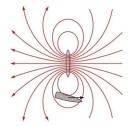




## **Classification-Specific Sensors**

 Multi-axis coils excite target (or measure its response) in different directions to fully sample polarizability



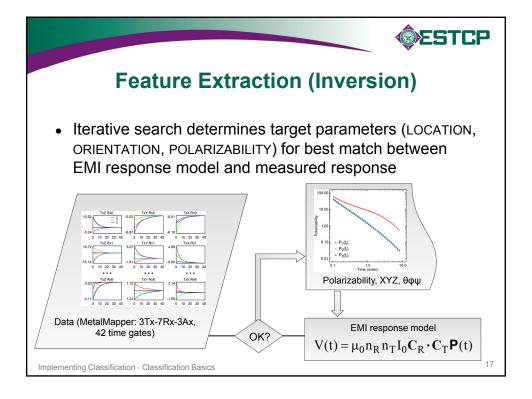


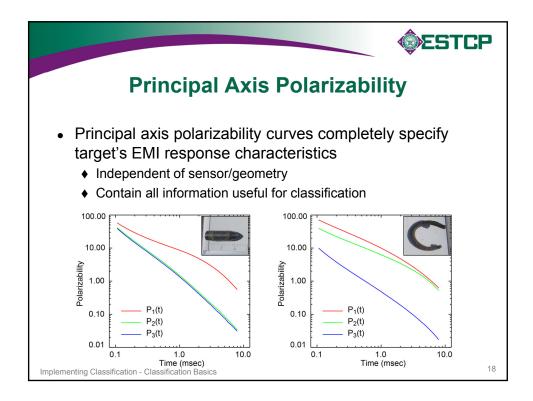
- Multiple receivers enable precise determination of target location
  - ♦ Crucial for accurate calculation of polarizability

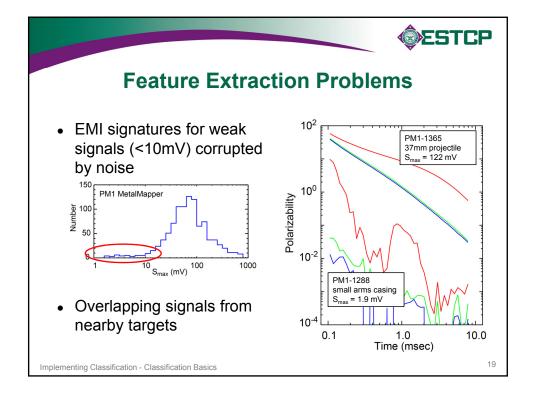
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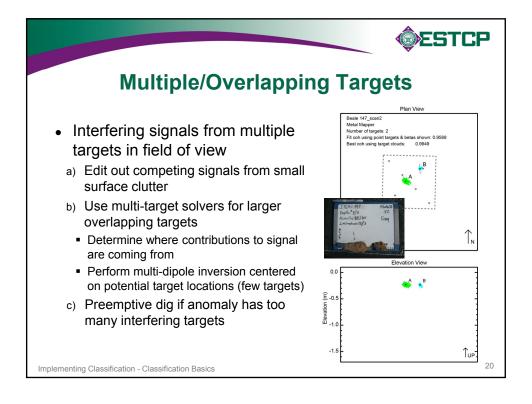
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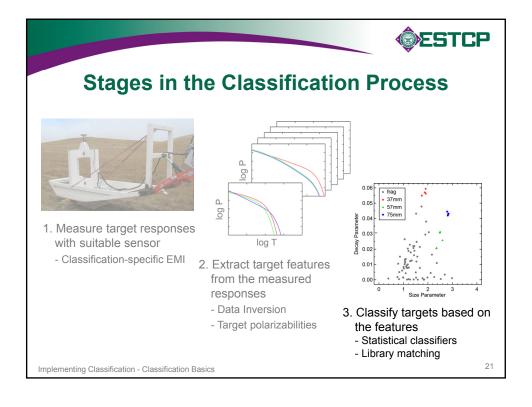
## **Stages in the Classification Process** 1. Measure target responses with suitable sensor log T - Classification-specific EMI 2. Extract target features from the measured responses - Data Inversion 3. Classify targets based on - Target polarizabilities the features - Statistical classifiers - Library matching Implementing Classification - Classification Basics

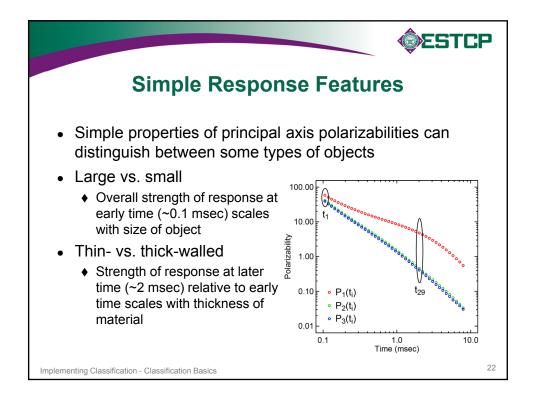




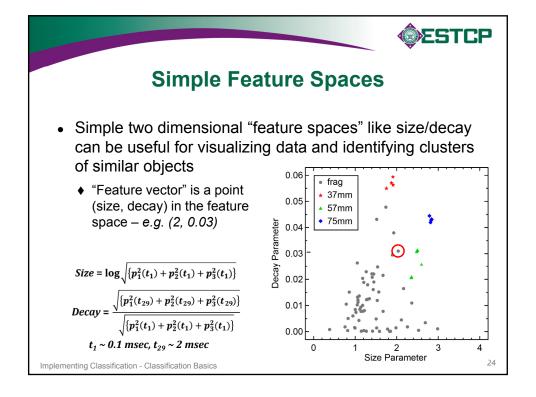








#### **ESTCP Simple Feature Spaces** Simple two dimensional "feature spaces" like size/decay can be useful for visualizing data and identifying clusters of similar objects frag ♦ "Feature vector" is a point 37mm 0.05 (size, decay) in the feature 57mm space 0.04 0.03 Size = $\log_{1} \left\{ p_{1}^{2}(t_{1}) + p_{2}^{2}(t_{1}) + p_{3}^{2}(t_{1}) \right\}$ 0.02 $\sqrt{\left\{p_1^2(t_{29})+p_2^2(t_{29})+p_3^2(t_{29})\right\}}$ 0.01 0.00 $t_1 \sim 0.1 \; msec, \, t_{29} \sim 2 \; msec$ Size Parameter Implementing Classification - Classification Basics



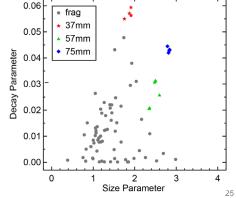
## **ESTCP**

## **Simple Feature Spaces**

Simple two dimensional "feature spaces" like size/decay can be useful for visualizing data and identifying clusters of similar objects

 "Feature vector" is a point (size, decay) in the feature space

 Limited classification power relative to complete set of polarizability curves



Implementing Classification - Classification Basics

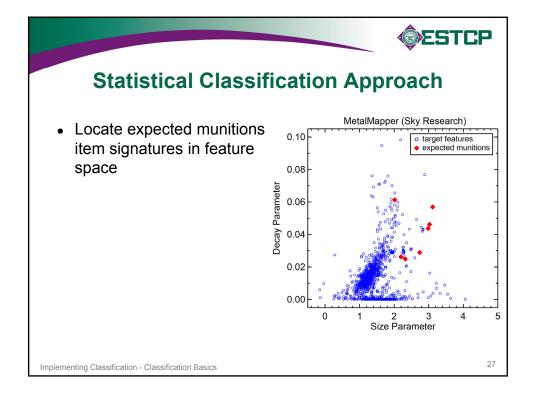


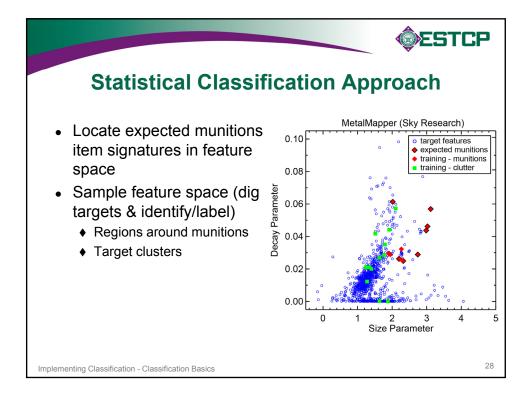
## **Classification Techniques**

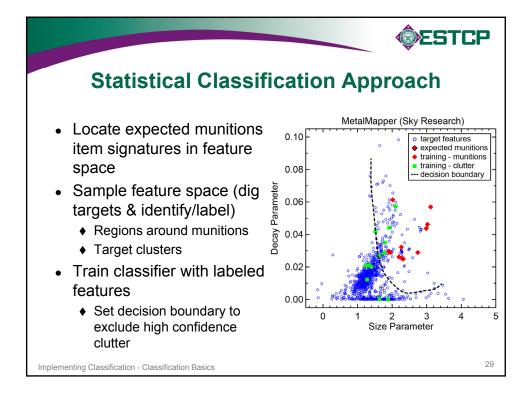
- Statistical Classifiers
  - $\bullet~$  Input features include all  $3_{\text{axes}} x N_{\text{gates}}$  polarizabilities
  - ♦ Machine learning support vector machines, neural nets, etc.
  - Trained on prior target information and labeled training data
- Library matching
  - ♦ Asks what an unknown target "looks like" in EMI sense
  - ◆ Compares polarizability against bank of signatures for expected munitions and other training objects
- Both approaches are based on signal matching
  - ♦ Statistical classifiers create their own library
  - ♦ Both can have problems with unexpected munitions types

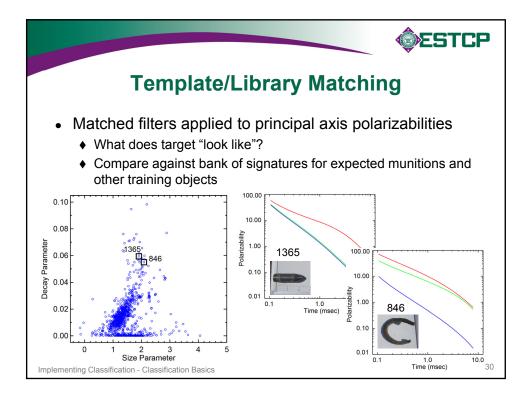
Implementing Classification - Classification Basics

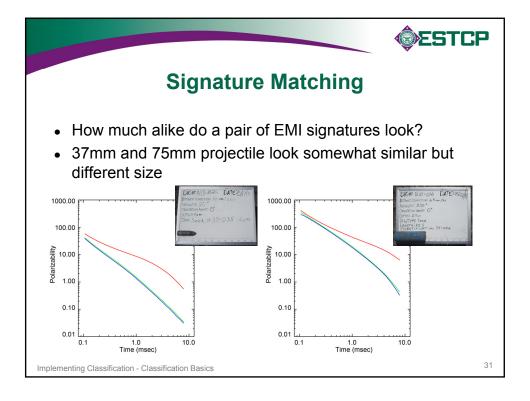
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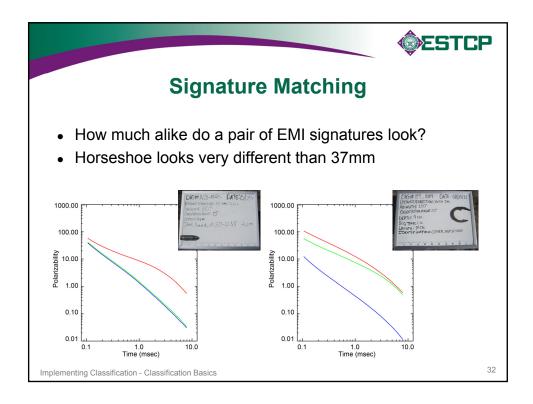


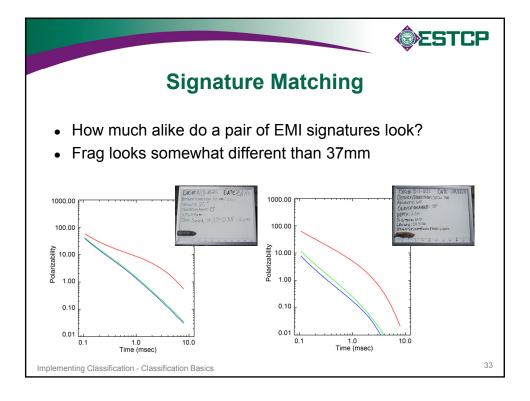


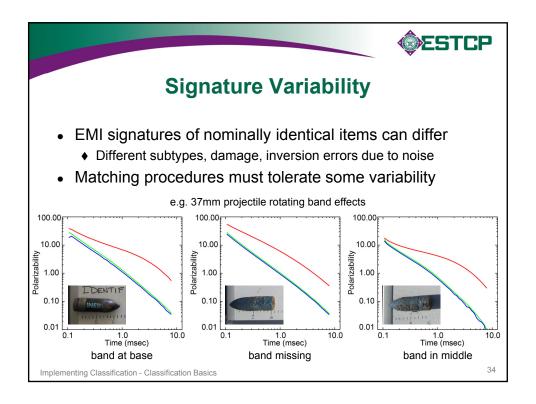


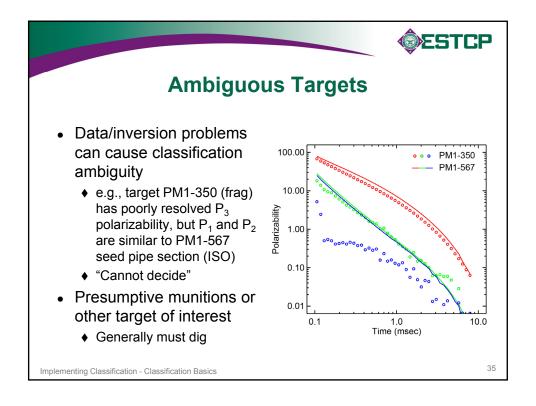


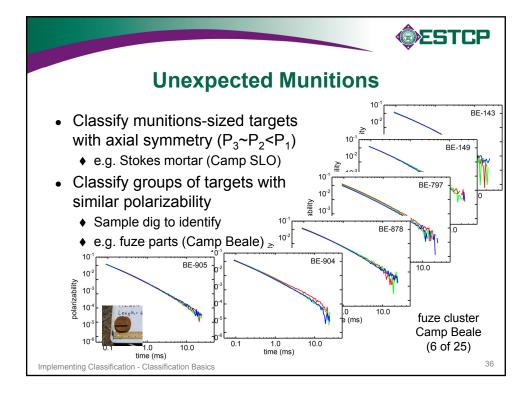














## **Classifier Output**

- Ranked anomaly list
  - ♦ Likely munitions
  - ♦ Likely clutter
  - ♦ Can't tell
- "Stop dig" threshold
  - Set to exclude only high confidence clutter
  - Dig all uncertain targets (and likely munitions)

Rank	P <sub>UXO</sub>	Dig	Comment	
-9999	-9999	1	Can't extract reliable features	
1	.97	1		
2	.96	1	High confidence munition	
3		1		
		1	Can't make a decision	
		1	Can't make a decision	
		0		
		0		
		0	High confidence non-munition	
	.03	0		
	.03	0		
	.02	0		
N	.01	0		

Implementing Classification - Classification Basics

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## **Summary**

- Modern sensors accurately measure EMI response information needed for reliable classification
- Analysis procedures remove sensor/geometry effects to extract target's intrinsic EMI signature
  - ♦ Depends only on size, shape and material properties of target
- Statistical and library-based classifiers can reliably distinguish between munitions and clutter items
  - ◆ Match unknown targets with other objects with similar EMI signatures (i.e., things they "look like")
  - ♦ Presumptive UXO (dig) if EMI "vision" is fuzzy or obscured

Implementing Classification - Classification Basics

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## **Objectives**

- Identify important questions to ask on a project involving classification for different phases of the work
- Provide example data products for each phase of the work
- Describe the quality control (QC) considerations for each task
- Discuss where QC considerations are documented in MEC UFP-QAPP

Implementing Classification - Workflow & QC Products

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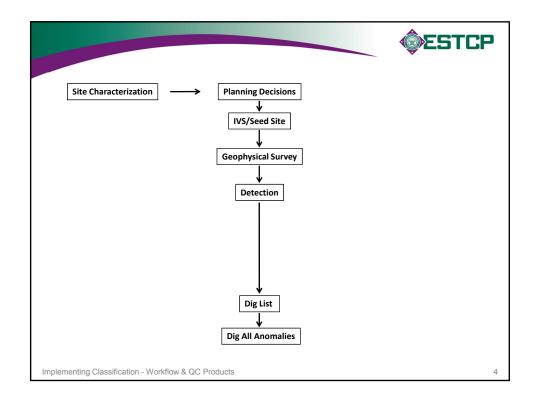
# MR Project Work Elements that Classification Significantly Changes

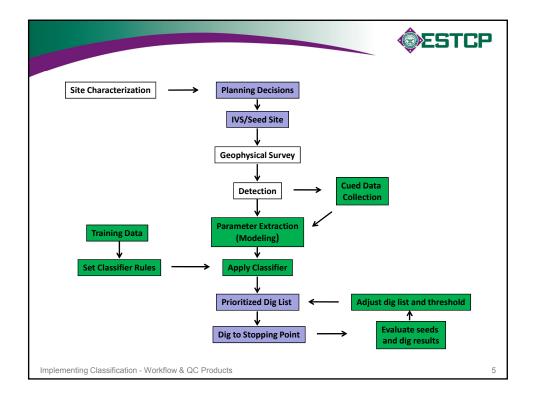
- GIS setup
- Document management and control
- Subcontracting
- Technical and operational approach
- Work Plan preparation and approval
- Site prep and mobilization
- Site survey/grid layout
- Vegetation removal
- Surface removal

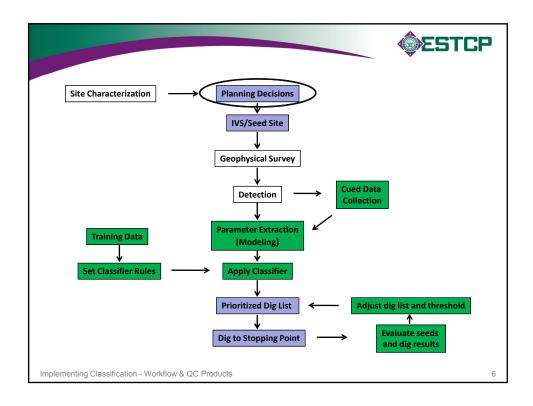
- Geophysical System Verification (GSV)
- Geophysical survey, data collection, and processing
- Anomaly reacquisition and investigation
- MEC/MPPEH management
- Demobilization
- Final report
- Archiving
- · Project closeout

Implementing Classification - Workflow & QC Products

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## Is Classification Applicable at This Site

- What are the targets of interest at this site?
  - ♦ Historical research
  - ♦ Recovered munitions and fragments/scrap
  - ♦ Depth and density



Photo courtesy of Estrella Warbirds Museum.

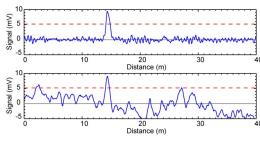
Implementing Classification - Workflow & QC Products

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# **ESTCP**

## Is Classification Applicable at This Site?

- What is the appropriate threshold for detection?
  - Clutter environment and geology affect detection threshold and the ability to classify
  - ◆ If project objectives require "picking into the noise," you need a new plan.



Implementing Classification - Workflow & QC Products

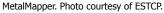
Workflow and Quality Control Products



## **Classification Planning**

- What classification sensor is appropriate for the site?
  - ♦ Benign terrain MetalMapper
  - ♦ Rougher terrain with steeper slopes and trees TEMTADS 2x2, MPV, handheld BUD







TEMTADS 2x2. Photo courtesy of ESTCP.



MPV. Photo courtesy of ESTCP.

Implementing Classification - Workflow & QC Products



## **Classification Planning**

- What parts of the site have an anomaly density that will allow classification to be successful and at what cost?
  - ♦ Small sites and densities greater than 1000 per acre may not be appropriate.
  - ♦ For sites with few anomalies, the costs of remediation must justify the extra expense required for data collection
    - Wetlands, chemical sites, etc.



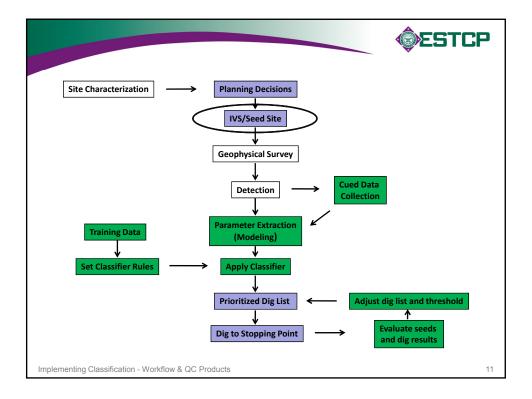
Landfill surprise

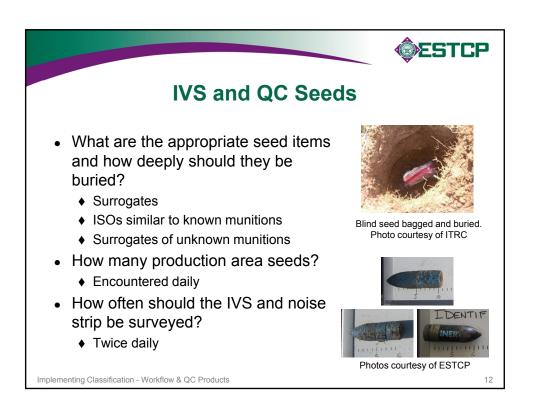


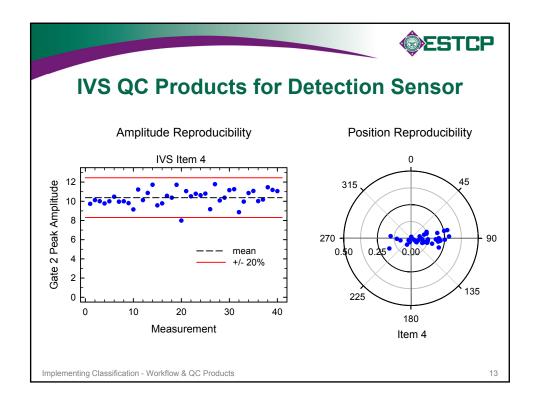
40-mm Anti-Aircraft Projectile. Photos courtesy U.S. Navy

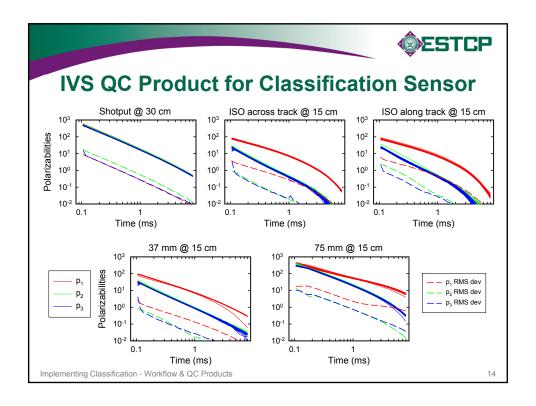
Implementing Classification - Workflow & QC Products

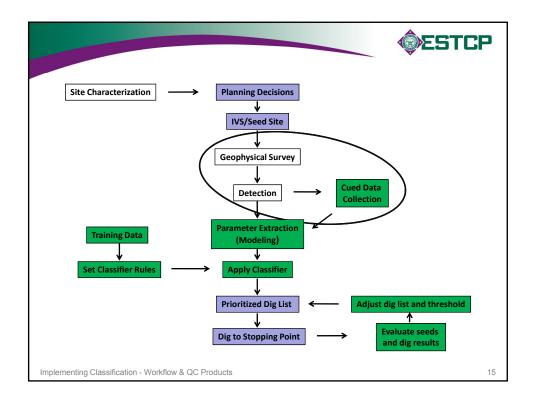
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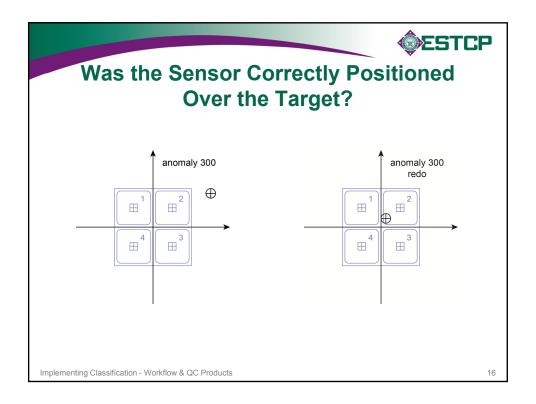


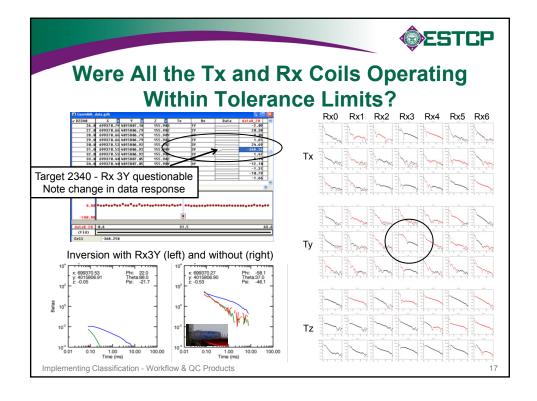


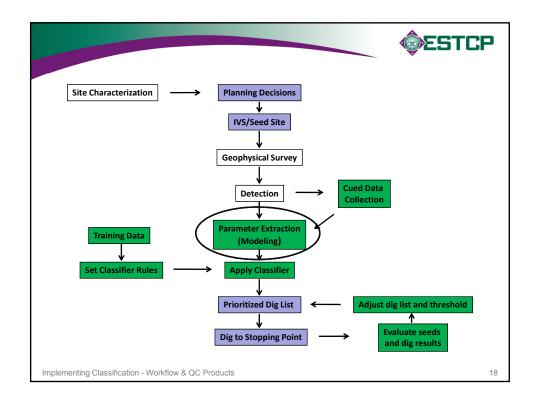










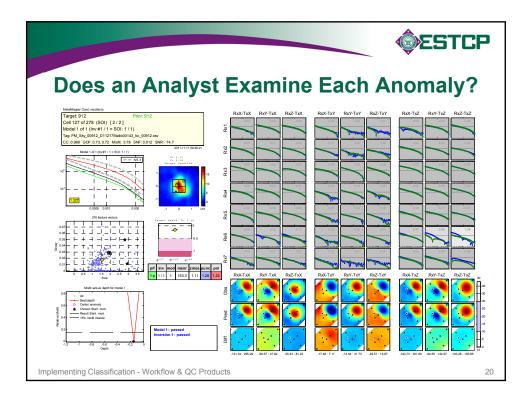


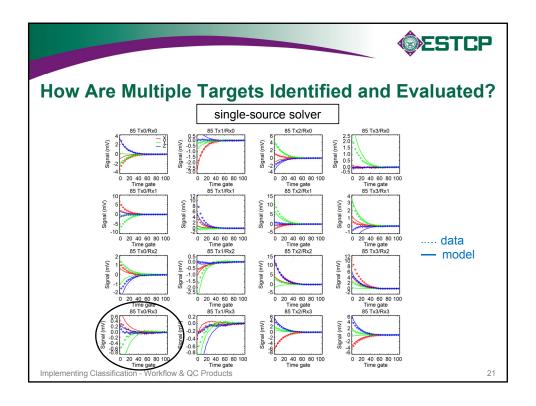


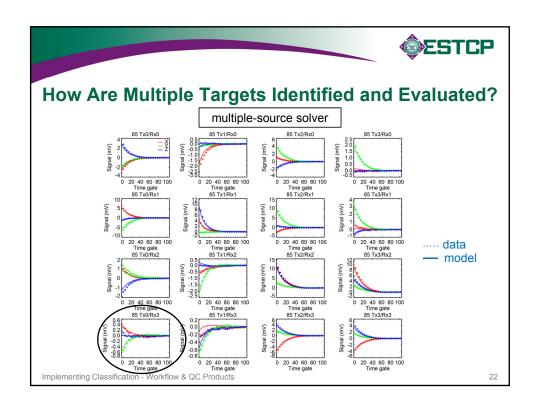
# **Important Feature Extraction Questions**

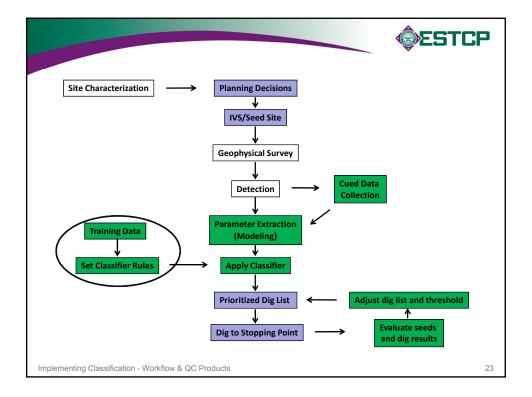
- What are the criteria for deciding a solution has been reached?
- What are the criteria for determining that reliable features cannot be extracted for a particular anomaly and how is that anomaly treated?

Implementing Classification - Workflow & QC Products







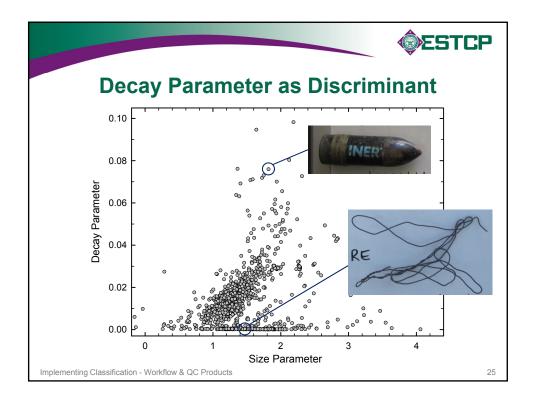


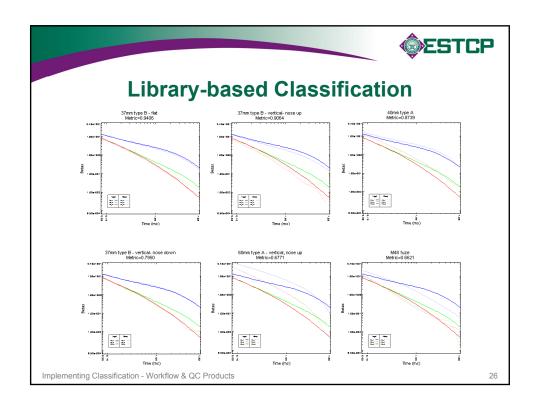


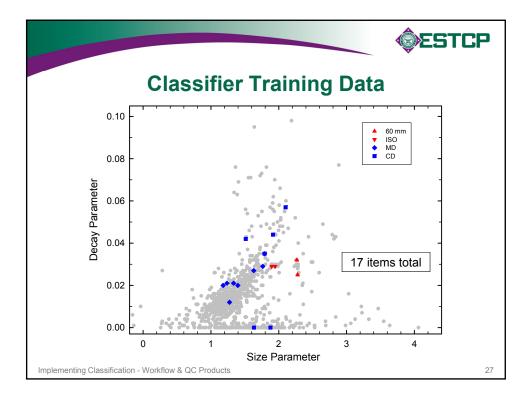
# **Documentation Required for the Classifier**

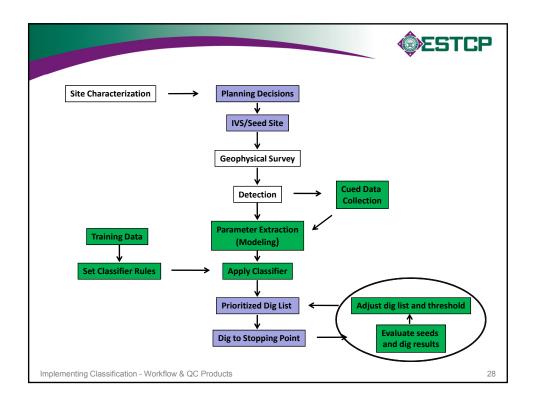
- What features been identified that will allow classification
  - polarizability amplitude and decay
  - ♦ depth and signal decay
- Will a library matching or a statistical based approach be used to classify the anomalies?
- Does the classifier require training data, how many, and how are they chosen?

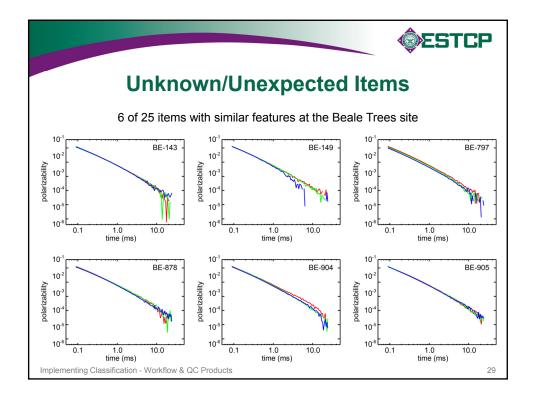
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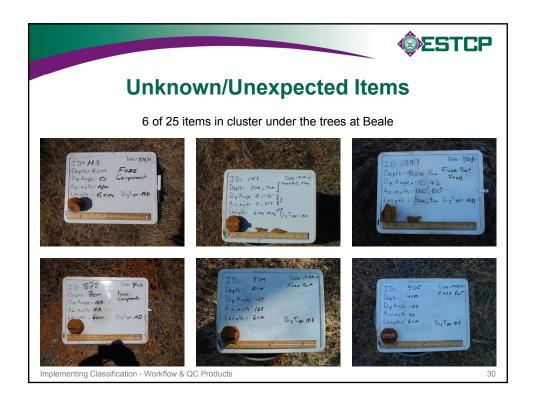


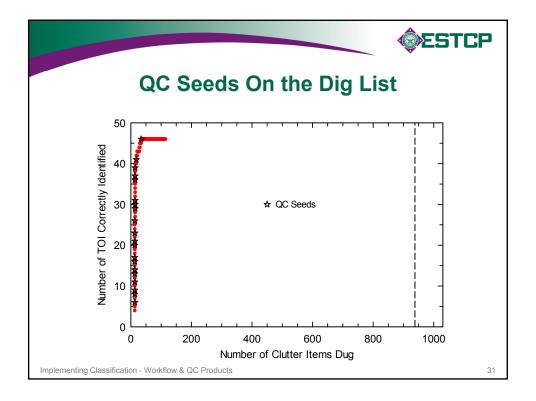


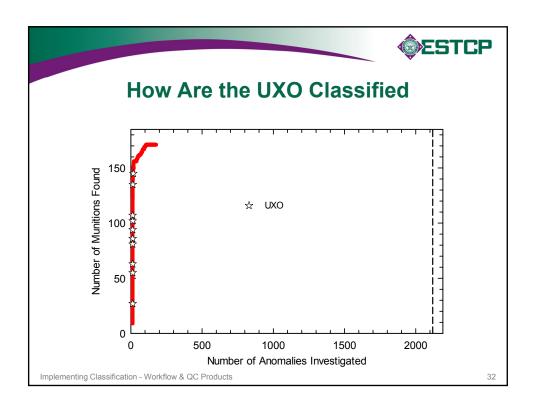














# **Systematic Planning Process**

- Systematic Planning Process is based on the scientific method and will help answer the previous questions in the different phases of work
- Ensures
  - Appropriate amount and type of data for decision
  - ♦ Data collected addresses characteristics of the site
- · Commonsense graded approach
- Promotes communication between all organizations and individuals involved and is documented in the UFP-QAPP

Implementing Classification - Workflow & QC Products

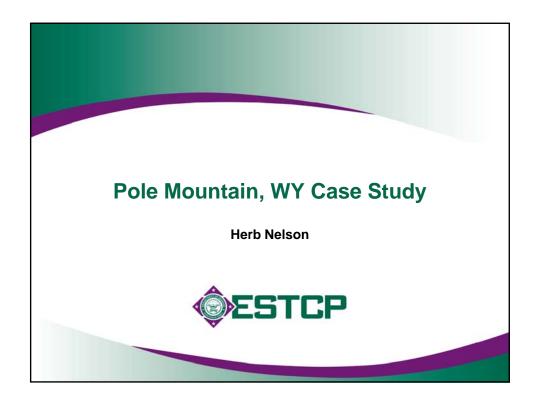
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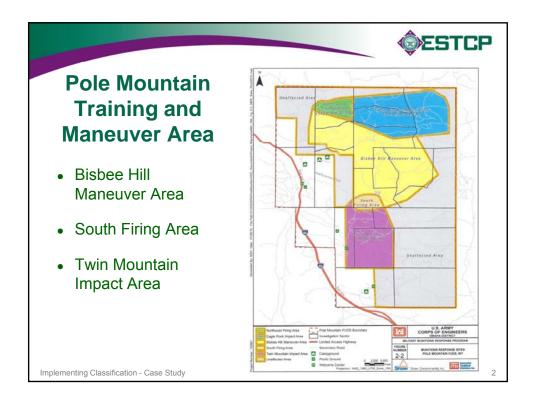


# **Quality Assurance Project Plan (QAPP)**

- Why is it so important to accurately document the QAPP/SAP?
  - ♦ SAP documents how QA and QC are applied to ensure that the results obtained will satisfy the stated performance criteria.
  - Purpose of a SAP is to document the planned activities data collection operations.
  - Provide a project-specific "blueprint" for obtaining the type and quality of environmental data needed for a specific decision or use.
  - Without a properly documented plan there is no way to historically reconstruct what was done for the project
- ESTCP is developing example classification QAPPs

Implementing Classification - Workflow & QC Products





2

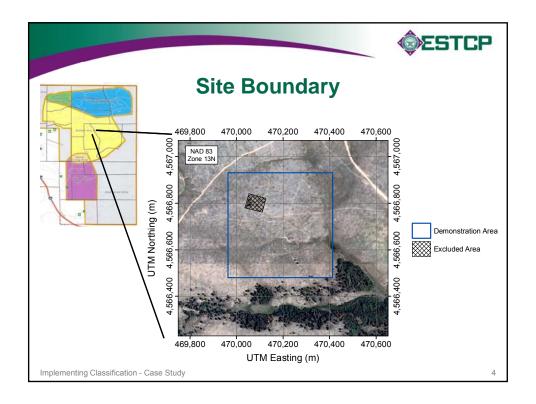
# **ESTCP**

#### **Munitions At the Site**

- A variety of munitions have been reported as used at Pole Mountain. Physical evidence for the following items was discovered during the RI:
  - ◆ Projectiles containing high explosive (HE) filler (37-mm to 155-mm, and 2.95-inch);
  - ◆ Shrapnel projectiles (75-mm and 3-inch);
  - ♦ 37-mm projectiles (inert and unfuzed);
  - ♦ 3-inch Stokes mortars (practice, fuzed); and
  - ♦ 60-mm mortars containing HE filler.

Implementing Classification - Case Study

3





# **Project Details**

- Seed emplacement
- EM61-MK2 detection survey
  - ♦ RTK GPS
- Select anomalies for further investigation
- Collect cued data using MetalMapper
- Intrusive investigation

Implementing Classification - Case Study

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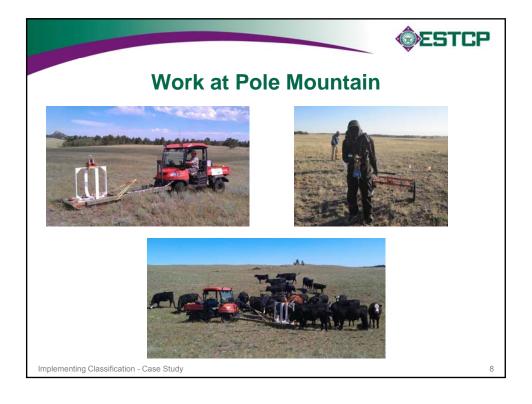


## **Seed Details**

Item	Depths
37-mm projectile	15 – 30 cm
57-mm projectile	20 – 35 cm
60-mm mortar	30 cm
75-mm projectile	20 – 40 cm
3-in stokes mortar	30 cm
Small ISO	15 – 25 cm

Implementing Classification - Case Study







#### **The Premise**

- The first ~25% of the Pole Mountain site was surveyed in the first field season
  - ♦ Anomalies selected from the EM61 survey data
  - ♦ Cued MetalMapper data collected
- Data analysis is complete and it is time for the site team to make some decisions
  - ♦ Are the data acceptable?
  - ♦ Is the analysis acceptable?
  - ♦ Can we stop digging at the contractor's stop-dig point?

Implementing Classification - Case Study

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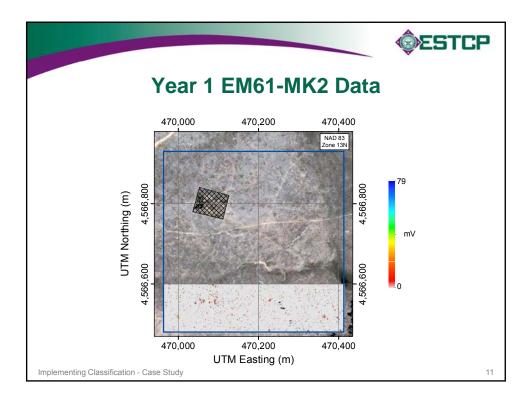


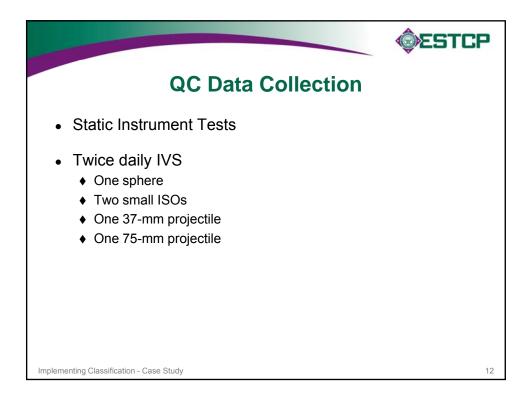
### **Decision 1**

EM61-MK2 Data

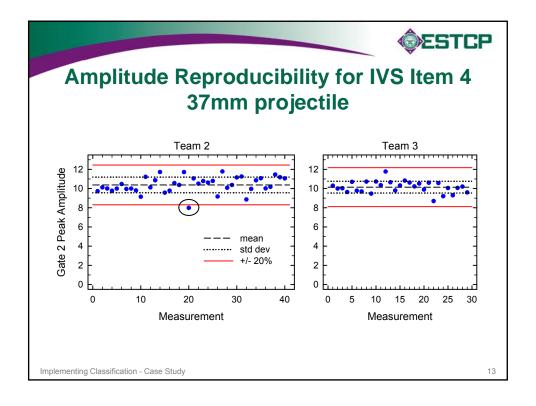
Implementing Classification - Case Study

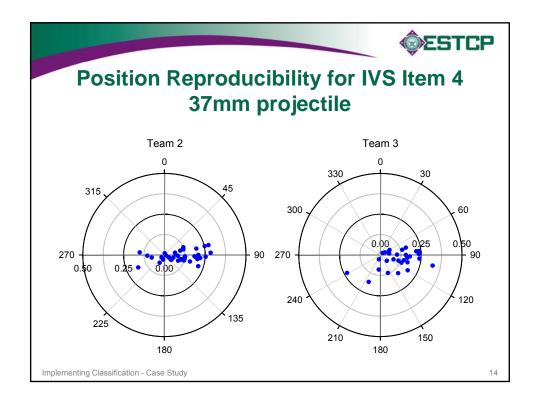
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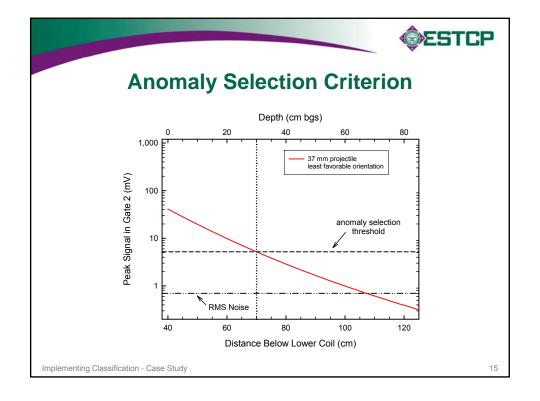




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### What We Know So Far

- Anomaly selection based on 37mm projectile at 30 cm
  - ♦ EM61 is appropriate sensor for this job
    - RMS noise ≈ 0.7 mV in channel 2
    - Selection threshold = 5.2 mV in channel 2
    - 938 anomalies selected
- All QC seeds detected using this threshold
  - ♦ Some just inside the 60-cm halo
- IVS reproducibility within requirements
- Coverage and measurement density within requirements

Implementing Classification - Case Study

# EM61-MK2 Data

- Are the data acceptable?
- Is the analysis acceptable?

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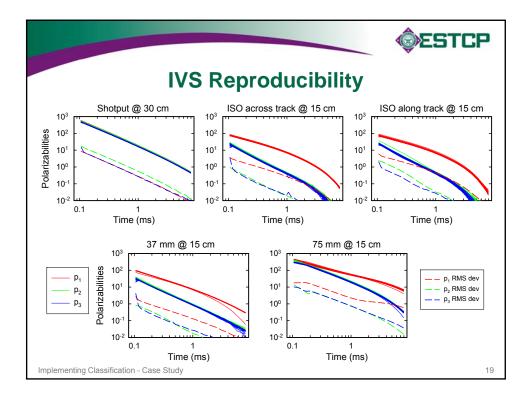
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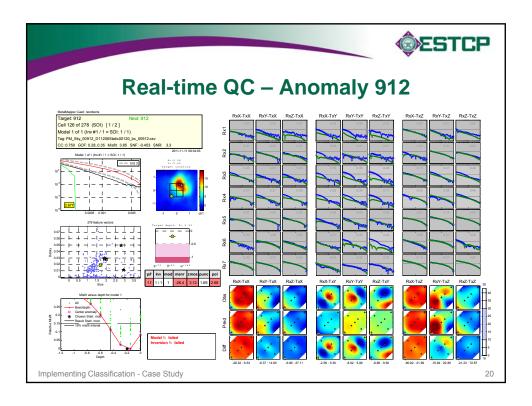
### **Decision 2**

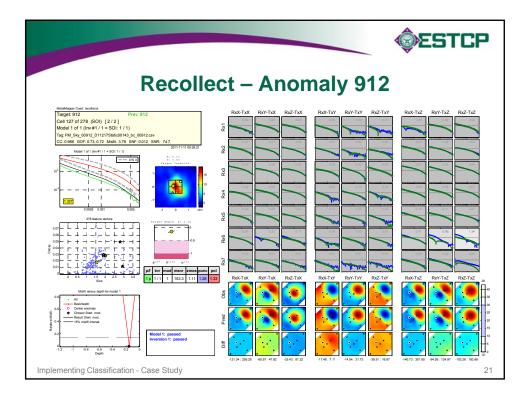
MetalMapper Cued Data

Implementing Classification - Case Study

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# **⊚**ESTCP

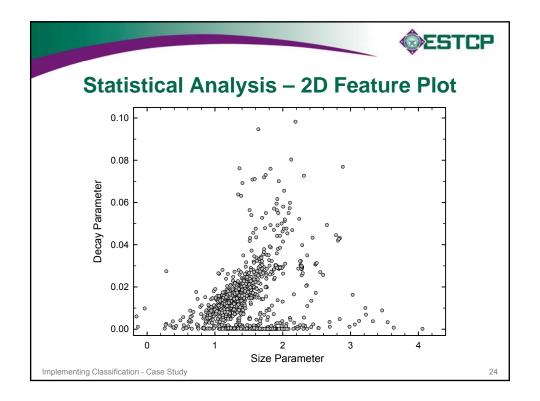
# **MetalMapper Cued Data**

- · Cued data collected over all anomalies
  - ♦ Real-time QC
  - ♦ Some re-collections
- IVS reproducibility within specifications
- Are the data acceptable?

Implementing Classification - Case Study

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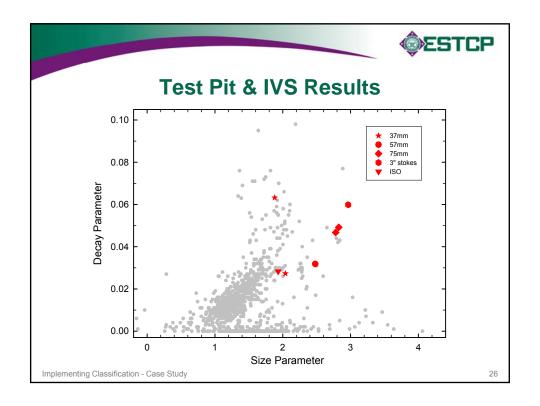
# ©ESTCP

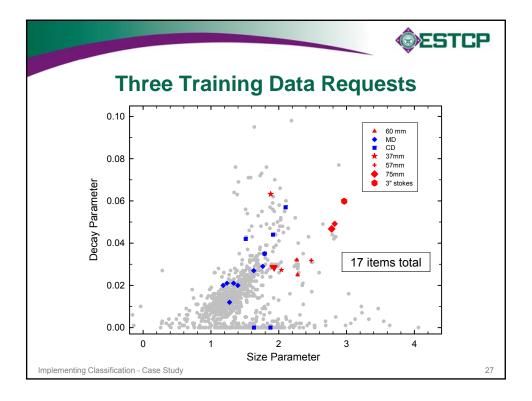
# **Training Data**

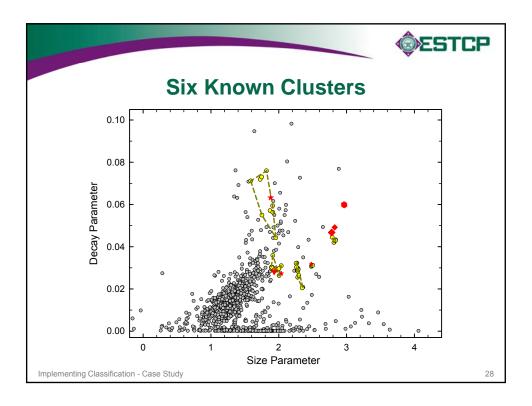
- Twice daily IVS + Static Tests
  - ♦ One sphere
  - ♦ Two small ISOs
  - ♦ One 37-mm projectile
  - ♦ One 75-mm projectile
- Training Pit
  - ♦ 37-mm, 57-mm, and 75-mm projectiles and 3-in stokes mortar
  - ♦ Two depths (at least one with good SNR)
  - ♦ Four orientations

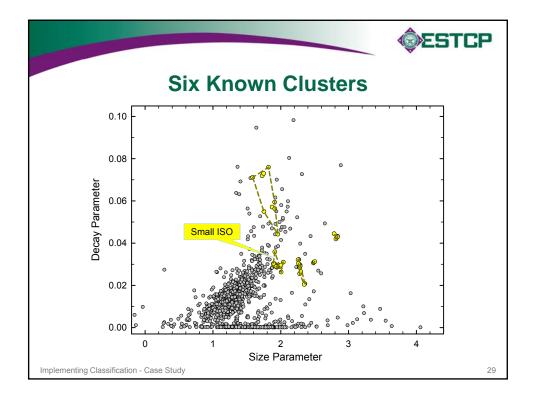
Implementing Classification - Case Study

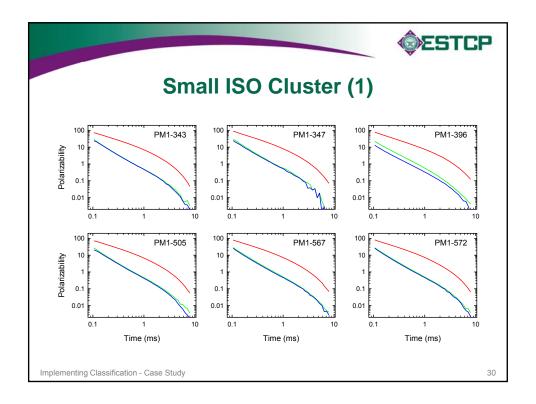
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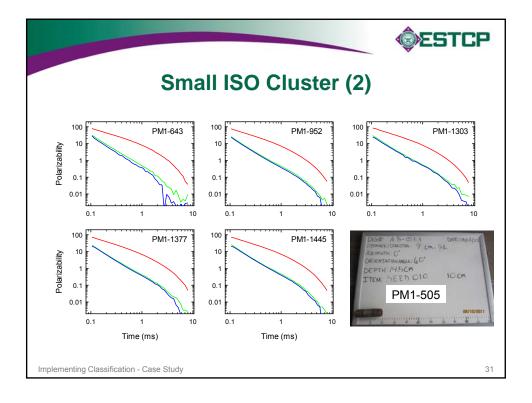


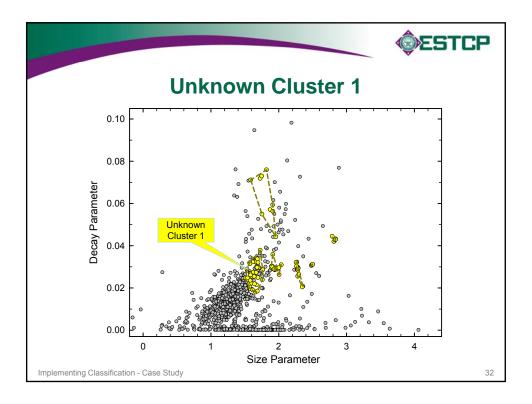


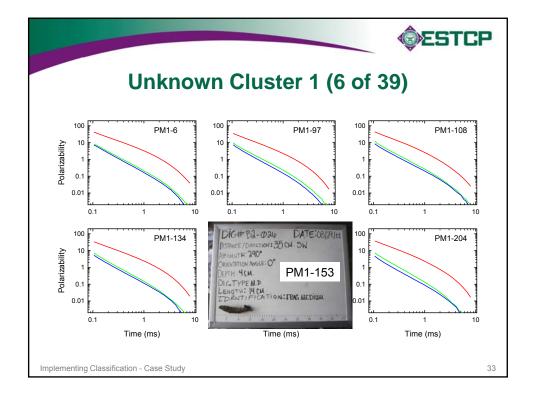


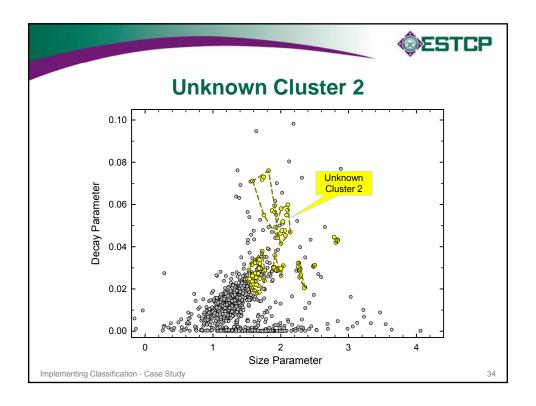


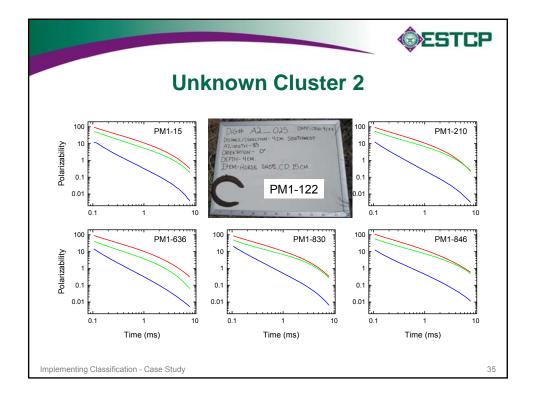


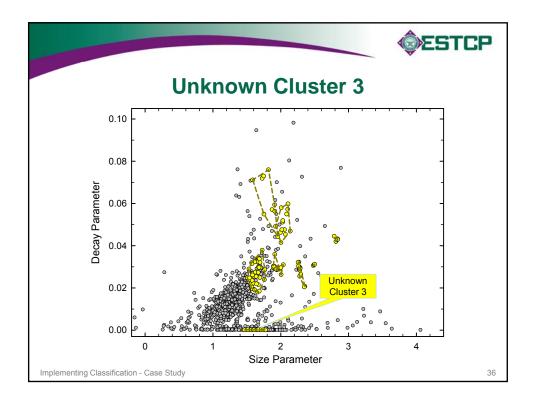


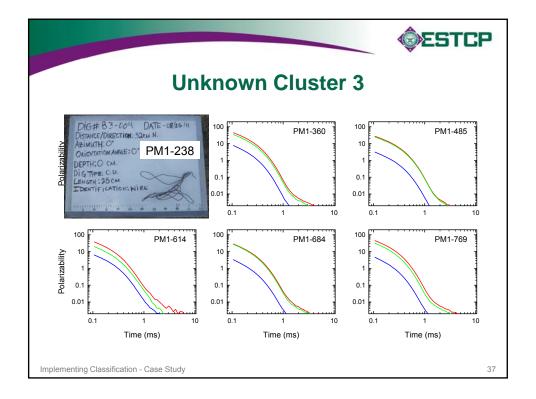


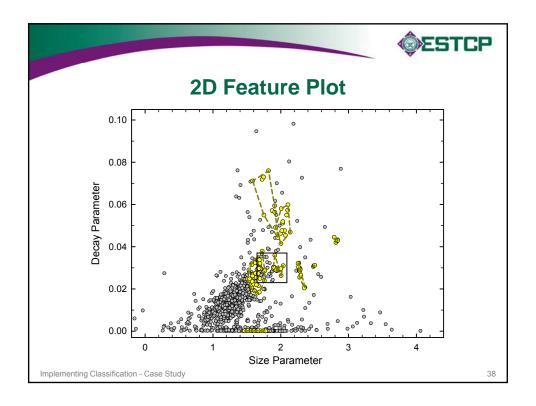


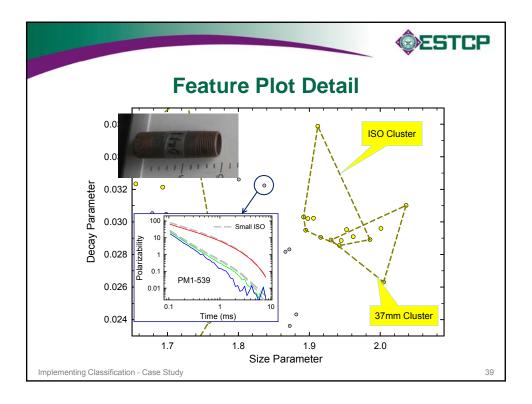


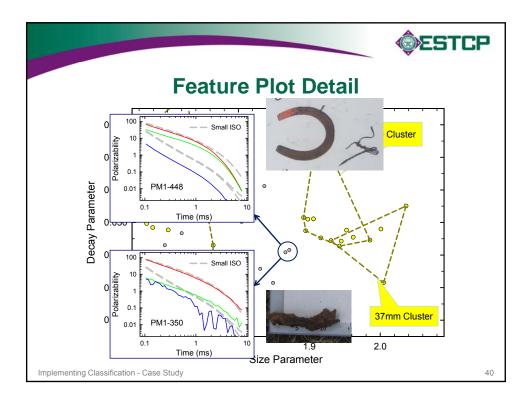


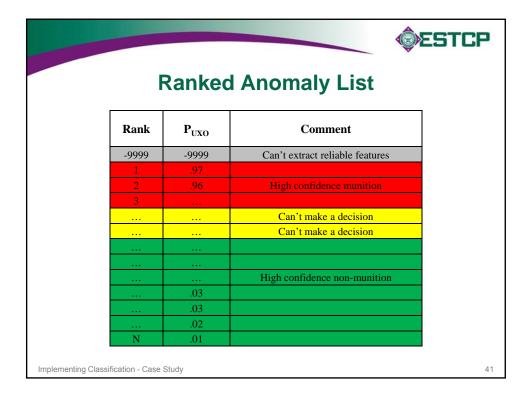


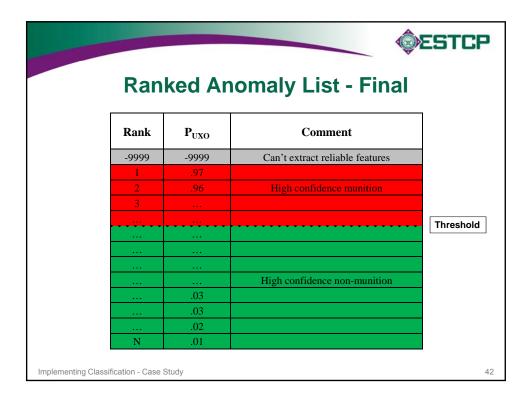


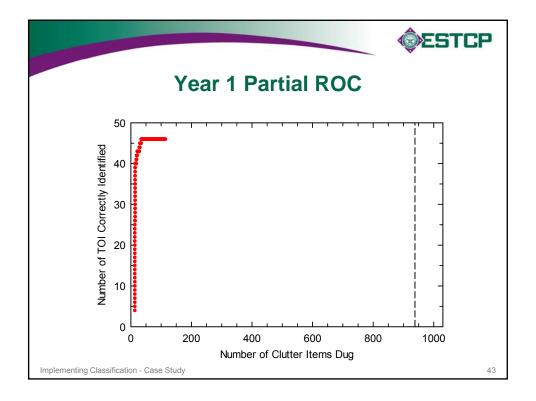


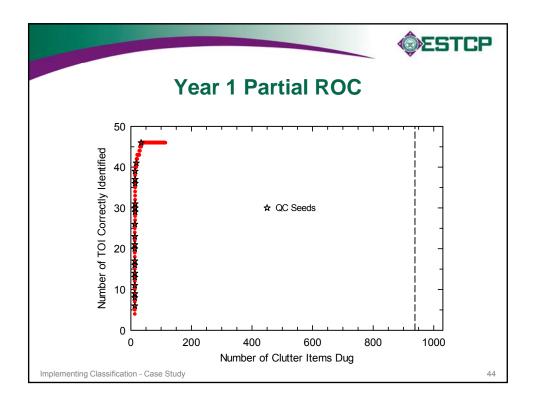






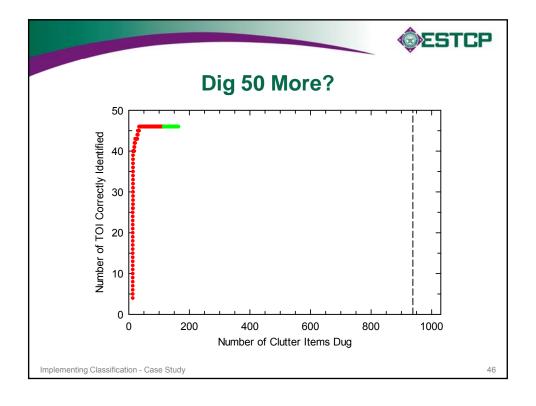


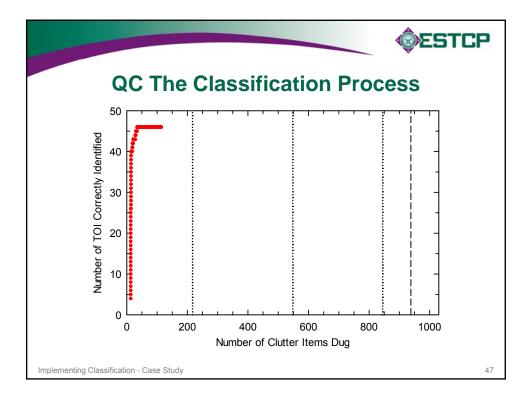


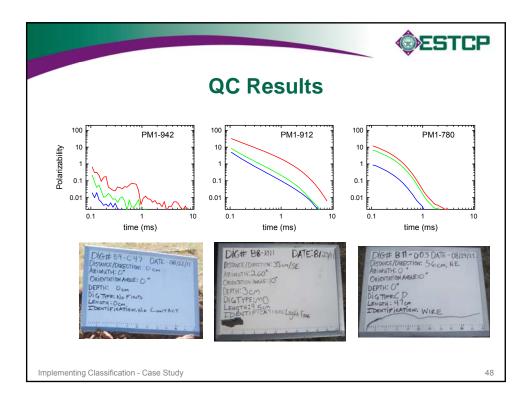


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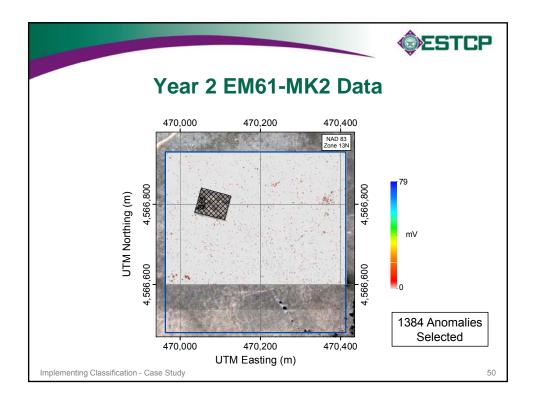
# Year 1 Analysis Is the analysis acceptable? Do we accept the stop-dig point?

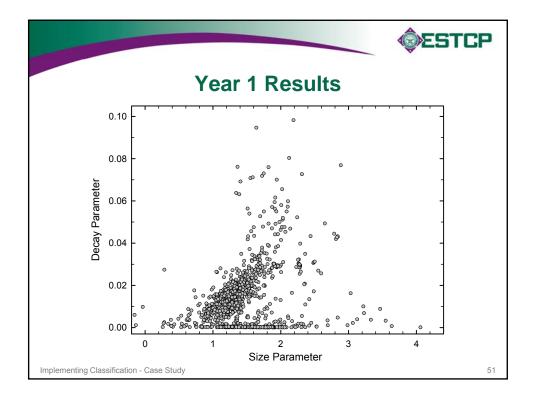


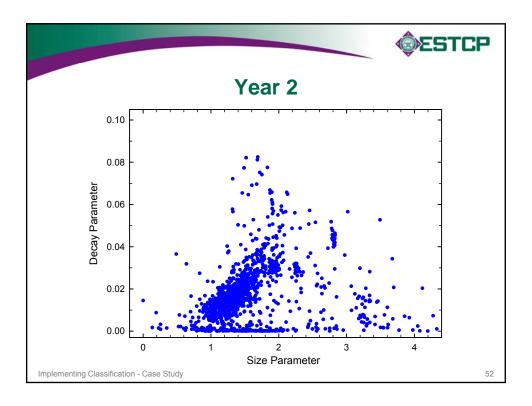


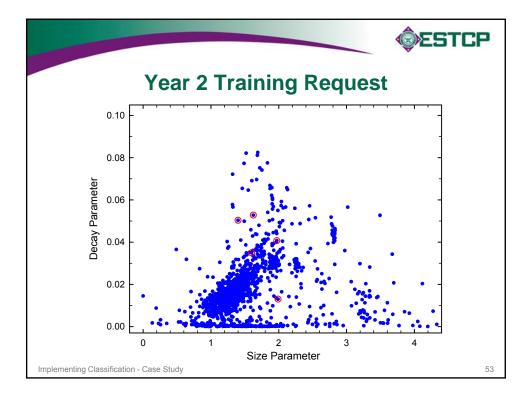




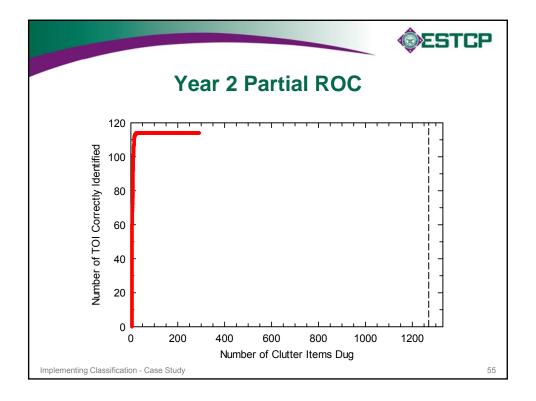


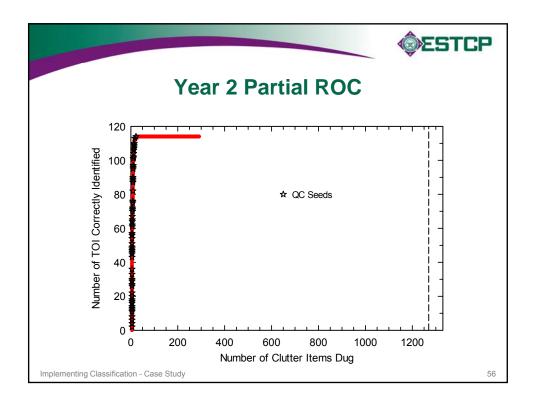






# Statistical Year 2 • Do we need more training? • Can we use the same classifier thresholds?





# ©ESTCP

# **Year 2 Analysis**

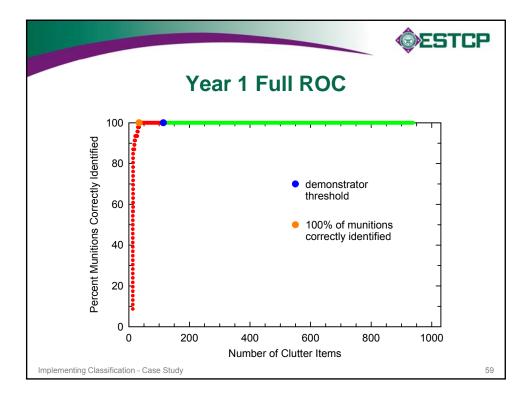
- Is the analysis acceptable?
- Do we accept the stop-dig point?

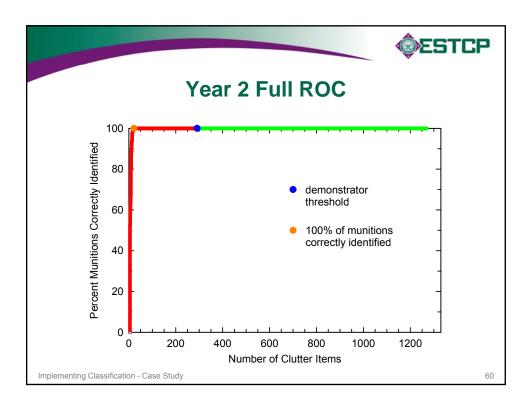
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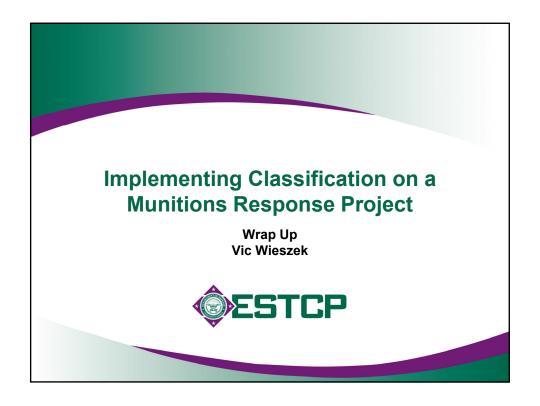
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#### Is This Ready for Transition? Demonstrated on a variety of site conditions Yes, with more to come Good understanding of applicability and limitations Commercially available sensor Yes Freely available analysis software Yes OSD support Yes Ongoing Contracting challenges Regulatory acceptance Ongoing Train contractor work force and DoD PMs Yes, and ongoing

Wrap Up

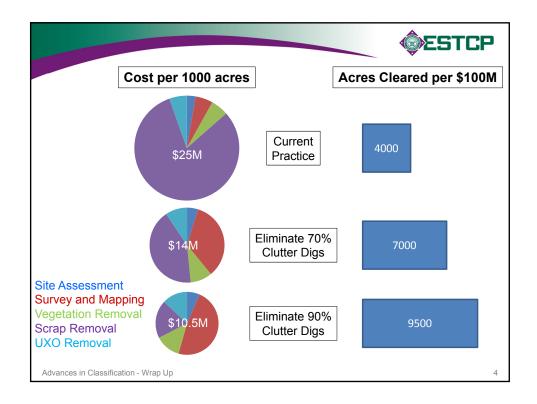
Advances in Classification - Wrap Up

# Who Should Be Interested?

- Those responsible for sites that have these characteristics
  - ♦ Removal of subsurface munitions is desired
  - ♦ Munitions types 37-mm and larger
  - ♦ Anomaly density up to about 1000 per acre
  - ♦ Benign geology
  - ♦ Benign to moderate terrain and vegetation

Advances in Classification - Wrap Up

3



Wrap Up 2



# Is This Method Proposed To Me Really "Classification"?

- Many approaches will be called "Classification"
- · Hallmarks of success
  - ♦ Advanced sensors
  - ♦ Principled, physics-based analysis
  - ♦ Transparent all decisions documented and reviewable
- · Things to watch for
  - ♦ EM61 and magnetometers = very limited classification potential
  - ♦ "Black boxes"
  - ♦ No independent, blind testing of the approach

Results shown here apply only to the systems and methods demonstrated in the ESTCP Live Site Program

Advances in Classification - Wrap Up

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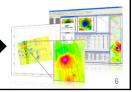


# Where Are We Going?

- Smaller sensors for use in challenging terrain and vegetation
- One-pass classification eliminate the need for stationary cued data

 Transition from developers to production geophysics companies

 $\mathbf{H}(\mathbf{r}) = \sum_{i=1}^{N_v} \overline{\psi}_i(\mathbf{r}) \cdot \boldsymbol{b}_i,$ 



Advances in Classification - Wrap Up

Wrap Up



# **Take Home Message**

- Accelerate pace of cleanup at a constant funding level
  - ♦ Completion dates forecast decades out
  - ♦ Reduce risk more rapidly
- Better understood and transparent process
  - ♦ Higher quality data collected
  - ♦ All decisions documented and reviewable
  - ♦ Minimize operator effect on quality
  - ♦ Ability to adapt to new information
- Managing residual risk
  - ♦ Removals are not perfect under current practice
  - ♦ Some residual risk will always remain and must be managed
  - ♦ Clearing more land sooner is better

Advances in Classification - Wrap Up

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# **Advisory Group**

- James Austreng, USACE
- Harry Craig, US EPA
- Jon Haliscak, AFCEE
- Bryan Harre, NAVFAC ESC
- Robert Kirgan, USAEC
- Doug Maddox, USEPA
- Doug Murray, NOSSA
- Andy Schwartz, USAEC
- Steve Sterling, CA DTSCJeff Swanson, Colorado DPHE
- Jon Ussery, AFCEE
- Ken Vogler, Colorado DPHE
- Amy Walker, USACE
- Ed Walker, CA DTSC
- Vic Wiesek, ODUSD(I&E)

- Camp Butner
  - Marty Morgan
  - ♦ Raye Livermore
- · Pole Mountain
  - ♦ Adrienne Nunn
  - ♦ Jane Francis
  - ♦ Rick Grabowski
  - ◆ Dave Rathke
- Camp Sibert
  - ♦ Steve Cobb
  - ♦ Tracy Strickland

Advances in Classification - Wrap Up



# serdp-estcp.org

- Featured Initiative on Classification updated as demonstrations proceed
- Tools and Training
  - ♦ Webcasts of short courses
  - ♦ Animation tutorial
  - Summary reports
  - ♦ Interim Guidance Document on Implementing Classification
- Funding Opportunities
  - Submit proposals for upcoming live site demonstrations

Advances in Classification - Wrap Up

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Wrap Up 5